



## Who We Are

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Who is providing this notice?	Diversify Advisor Network and its affiliates (collectively "DAN"). Our affiliates include the following: <ul style="list-style-type: none"><li>• Falcon Park Capital, LLC</li><li>• Willow Creek Compliance, LLC</li><li>• DFPG Investments, LLC</li><li>• Diversify Advisory Services, LLC</li></ul>
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## What We Do

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How does DFPG protect my personal information	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. We protect your personal information in the following ways: <ul style="list-style-type: none"><li>• In addition to physical and electronic safeguards, we have implemented security standards and procedures to protect your information, including employee training, limited employee access, and the use of confidentiality agreements.</li></ul>
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How does DFPG collect my personal information	We collect your personal information, for example when you: <ul style="list-style-type: none"><li>• Open an account</li><li>• Apply for insurance</li><li>• Seek advice about your investments</li><li>• Enter into an investment advisory agreement</li></ul>
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How does DFPG collect my personal information	Federal law gives you the right to limit only: <ul style="list-style-type: none"><li>• Sharing for affiliates' everyday business purposes - information about your creditworthiness</li><li>• Affiliates from using your information to market to you</li><li>• Sharing for nonaffiliates to market to you</li></ul> State Laws and individual companies may give you additional rights to limit sharing. (See below for more on your rights under state law.)
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What happens when I limit sharing for an account I hold jointly with someone else?	If you have a joint account, we will treat an opt-out direction by a joint customer as applying to all associated joint customers. Any account holder may express a privacy preference on behalf of the other joint account holders.
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## Definitions

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Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"><li>• Falcon Park Capital, LLC</li><li>• Willow Creek Compliance, LLC</li><li>• DFPG Investments, LLC</li><li>• Diversify Advisory Services, LLC</li></ul>
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Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"><li>• This may include insurance companies, broker/dealers, investment advisers, mutual fund companies, banks, investment firms, third-party administrators, clearing firms, retirement plan sponsors, and other third parties.</li></ul>
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Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"><li>• We do not joint market</li></ul>
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## Other Important Information

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If you live in an "opt-in" state, we are required to obtain your affirmative consent to share your nonpublic personal information with nonaffiliated third parties who do not currently assist us in servicing your account or conducting our business.

**California and North Dakota residents:** For accounts with a California or North Dakota mailing address, we will not share your personal information with a financial company for joint marketing purposes, except as required or permitted by law.

**Vermont residents:** For accounts with a Vermont mailing address, we will not share your creditworthiness information with our affiliates, except as required or permitted by law.